

ELECTRONICALLY FILED

PATENT APPLICATION
Docket No. 17653.34B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
Applicant:	Richard Oberland, et al.)
)
Title:	Method and Apparatus for Producing RZ-DPSK Modulated Optical Signals) Art Unit) 2613
Serial No.:	10/595,981)
)
Filed:	September 13, 2006)
)
Confirmation No.:	1255)
)
Examiner:	Dzung D. Tran)
)
Customer No.:	22913)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: WO2005/025094A1 is provided in the English abstract of that publication and the concise explanation for Japanese Publication No.: JP2000106543A is provided in the English abstract of that publication.

DATED this 17th day of December, 2009.

Respectfully submitted,

/Adrian J. Lee, Reg.# 42785/

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